

Stocks and Shares ISA Application Form

To open a Stocks and Shares ISA, please complete this form and return it to:

ISA Department, Quilter, St Helen's, 1 Undershaft, London EC3A 8BB

Your details

Surname

Forename(s)

Permanent residential address

Postcode

Do you have a National Insurance (NI) number? Yes No

If yes, please enter the number Date of Birth

Service type

The basis on which Quilter is to manage my Stocks and Shares ISA is

Discretionary Portfolio Service Managed Portfolio Service Advisory Portfolio Service Execution only

Subscription details

I apply to subscribe for a Quilter Stocks and Shares ISA for the 2009/10 tax year and each subsequent tax year until further notice. The maximum combined Stocks and Shares and Cash ISA subscription limit is now £10,200 for anyone born on or before 5 April 1960 and £7,200 for other eligible subscribers.

Please tick the appropriate box(es)

I was born on or before 5 April 1960 - please transfer the maximum allowable subscription into my Quilter ISA
I understand that this will cover the combined Stocks and Shares ISA and Cash ISA annual subscription limits unless I notify you that I have subscribed to (or intend to subscribe to) a Cash ISA elsewhere. Taking into account the 1% initial charge plus VAT, the amount debited from your main account will be £10,319.85 (£10,200 subscription +1% initial charge of £102 and £17.85 VAT).

OR

I was born on or after 6 April 1960 - please transfer the maximum allowable subscription into my Quilter ISA
I understand that this will cover the combined Stocks and Shares ISA and Cash ISA annual subscription limits unless I notify you that I have subscribed to (or intend to subscribe to) a Cash ISA elsewhere. Taking into account the 1% initial charge plus VAT, the amount debited from your main account will be £7,284.60 (£7,200 subscription +1% initial charge of £72 and £12.60 VAT).

Additional options

- I do not wish to make a Quilter ISA subscription in future tax years.**
If you do not tick this box, we will treat this form as your continuous authority to make subscriptions in future tax years.
- I wish to transfer an existing ISA to Quilter and have completed and enclose a transfer authority form.**
Where you wish to transfer a current year's subscription, funds may be debited from your main account to cover any balance of the subscription up to the maximum allowable for this tax year.
- Please pay my ISA income to me.**
If you do not tick this box, any income received in your ISA will be transferred to the capital account for reinvestment.

Declaration and authorisation

I confirm that I have read, understood and agree to be bound by Quilter’s Terms and Conditions (including the ISA Terms and Conditions) and consent to your Order Execution Policy and to Quilter (or any affiliate, as the case may be) effecting transactions on my behalf outside a regulated market or multilateral trading facility.

Furthermore, I declare that:

- (i) all subscriptions made, and to be made, belong to me;
- (ii) I am 18 years of age or over;
- (iii) I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a Cash ISA and a Stocks and Shares ISA in the same tax year;
- (iv) I have not subscribed, and will not subscribe, to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA; and
- (v) I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Quilter if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise Quilter:

- (i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- (ii) to make on my behalf any claims to relief from tax in respect of ISA investments; and
- (iii) on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.

I understand that tax rates and reliefs which are applicable at the time of this application may be subject to change and therefore the expected benefits from the Stocks and Shares ISA may vary depending on my individual circumstances.

I declare that this application form has been completed to the best of my knowledge and belief and that I will inform Quilter of any changes.

Signature Date

Print name

For office use only

Introducing intermediary stamp Consultant’s name <input style="width: 250px; height: 30px;" type="text"/>	IM receipt date <input style="width: 250px; height: 30px;" type="text"/> IM ref/signature <input style="width: 250px; height: 30px;" type="text"/> Account opened <input style="width: 250px; height: 30px;" type="text"/> Main a/c client key <input style="width: 250px; height: 30px;" type="text"/> ISA key <input style="width: 250px; height: 30px;" type="text"/>
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